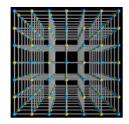
Bengaluru August 19, 2016

Coarse Graining of Electric Field Interactions with Materials



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Funded by Army Research Office

Research Talk Indian Institute of Science, Bengaluru

*Figure : http://www.themolecularuniverse.com/mile/mile1.htm



Overview of the talk



Goal and introduction



Continuum limit calculations



Multiscale formulation



Results



Discussions



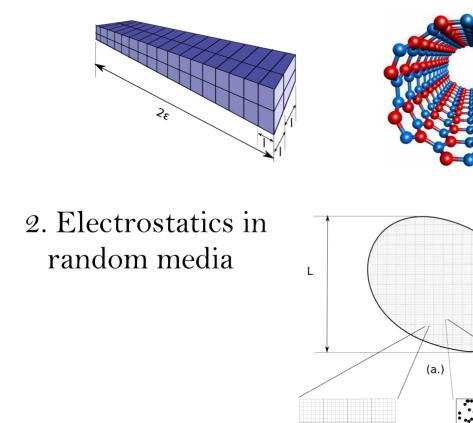
Future work

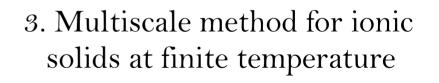
Introduction

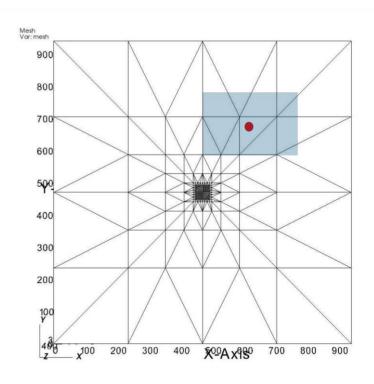
ε (c.) 2



1. Electrostatics in nanostructures







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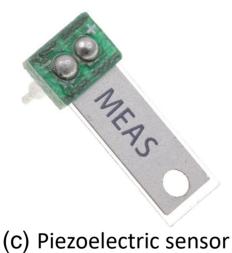
(b.)

Motivation

- Electrostatics interaction
 - ➡ Storage devices
 - i Ferroelectric RAM
 - Piezoelectric sensors

Finite temperature

- ➡ Thermal fluctuations of atoms
- Coupling of deformation, electric field with temperature

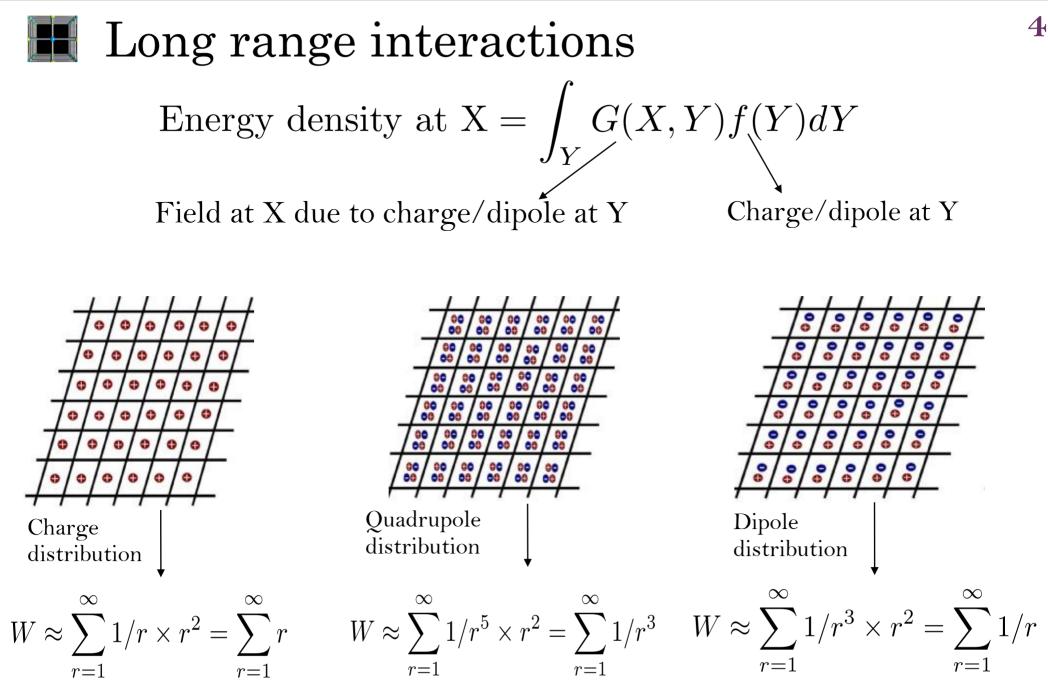






(b) Ferroelectric RAM

Carnegie Mellon University Civil and Environmental Engineering



Long range interactions...

Linear Elasticity
$$\longrightarrow W(\boldsymbol{x}) = \frac{1}{2} \boldsymbol{\epsilon}(\boldsymbol{x}) \cdot \mathbb{C} \boldsymbol{\epsilon}(\boldsymbol{x})$$

Electrostatics
$$\longrightarrow W(\boldsymbol{x}) = \nabla \phi(\boldsymbol{x}) \cdot \nabla \phi(\boldsymbol{x})$$

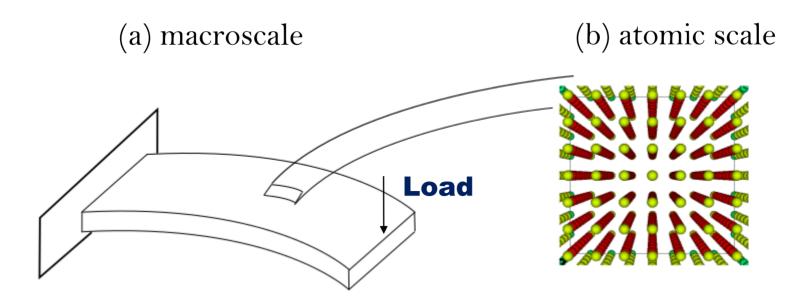
 $\nabla \cdot \nabla \phi = \nabla \cdot \boldsymbol{p}$

Energy density depends on polarization field over whole material domain

Long range interactions...

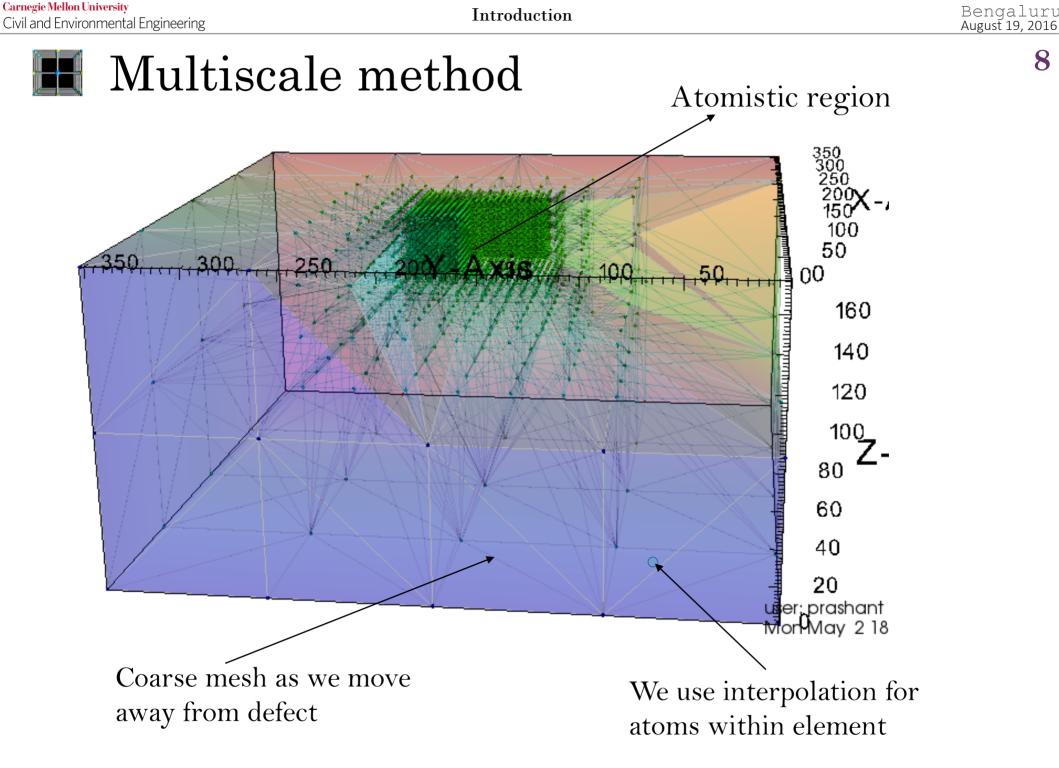
 \pmb{p} : polarization field in a material

Multiscale in a material

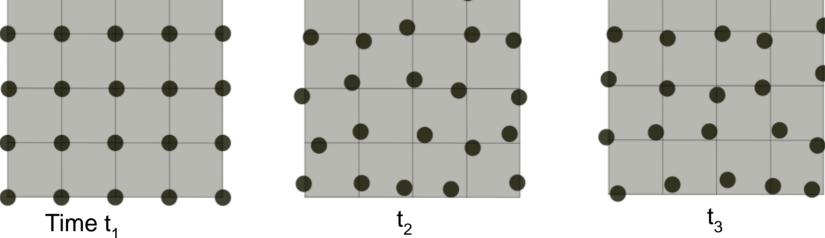


Piezoelectric material

- Deformation is slowly varying field
- Displacement of charges cause change in electric field
- Change in electric field causes deformation of material
- Except near loading, variation of deformation field is at higher scale than the scale at which atoms displace



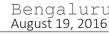
Finite temperature



Observation of property at time scale >> time scale at which system change state

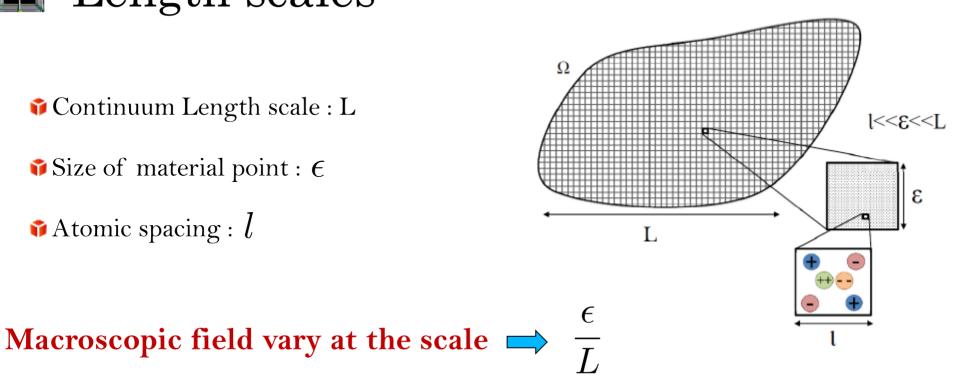
- \blacktriangleright Phase average \rightarrow need probability distribution function p
- \triangleright for each state \rightarrow p is the probability of system being at that state

$$f_{observed} := \int_{\Gamma} f(\mathbf{q}, \mathbf{p}) p(\mathbf{q}, \mathbf{p}) d\mathbf{q} d\mathbf{p} \qquad p(\mathbf{q}, \mathbf{p}) = \exp[-\frac{H(\mathbf{q}, \mathbf{p})}{\beta T}]$$
Position of all atoms Momenta of all atoms



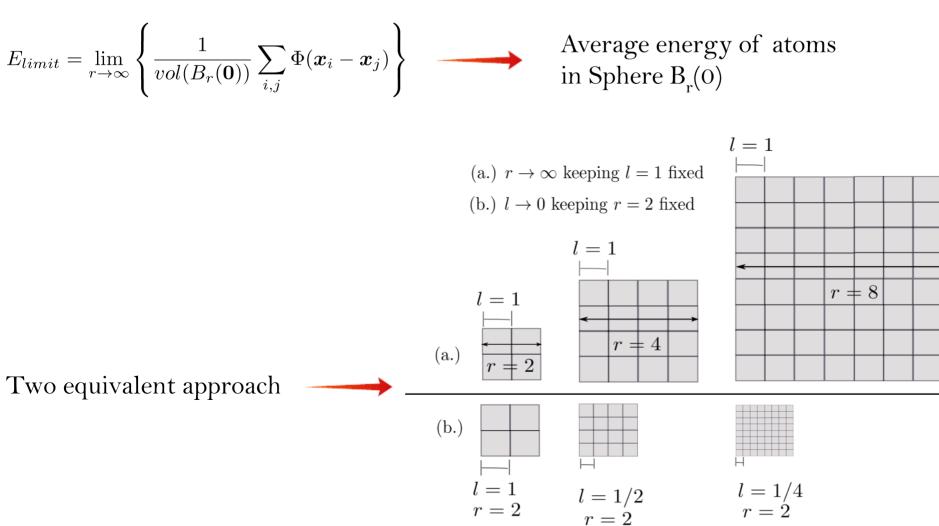
Length scales

- **†** Continuum Length scale : L
- **\hat{\bullet}** Size of material point : ϵ
- Atomic spacing : l



- **Interested in limit**
- > $\epsilon << L$ Fields vary at fine scale compared to size of material
- > $l << \epsilon$ Atomic spacing is fine compared to scale at which fields vary



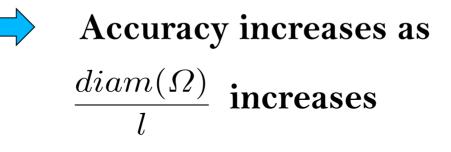


Scaled potential
$$\longrightarrow \Phi_l(\boldsymbol{x}) = \Phi\left(\frac{\boldsymbol{x}}{l}\right)$$

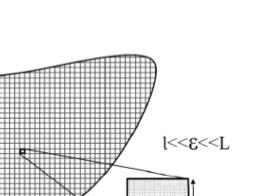




$$E(\Omega) \approx vol(\Omega) \times E_{limit}$$



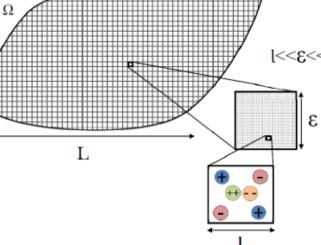
Electrostatics energy



 $\rho: \Omega \times \mathbb{R}^3 \to \mathbb{R}$ charge density field

small scale dependence : $\rho_l(\boldsymbol{x}, \boldsymbol{y}) = \rho(\boldsymbol{x}, \boldsymbol{y}/l)$

Electrostatics energy



 $E = \sum_{a} E(a)$ E(a) = [energy due to interactions of charges within material point a] + [energy due to interactions of charges outside material point a]

Non-Local energy

Random media: Charge density field

$$\rho: \Omega \times \mathbb{R}^3 \times D \to \mathbb{R}$$
 random field

stationary : $\bar{\rho} : \Omega \times D \to \mathbb{R} \longrightarrow \rho(\boldsymbol{x}, \boldsymbol{y}, \omega) = \bar{\rho}(\boldsymbol{x}, T_{\boldsymbol{y}}\omega)$

ρ is ergodic

scaled charge density field

$$\rho_l(\boldsymbol{x}, \boldsymbol{y}, \omega) = \rho(\boldsymbol{x}, \boldsymbol{y}/l, \omega) = \bar{\rho}(\boldsymbol{x}, T_{\boldsymbol{y}/l}\omega)$$

we find later: scaling is not correct

need
$$\rho_l(\boldsymbol{x}, \boldsymbol{y}, \omega) = rac{\rho(\boldsymbol{x}, \boldsymbol{y}/l, \omega)}{l}$$

Random media: Local energy

$$E_{local} = \frac{4\pi}{3} \sum_{\boldsymbol{x} \in \Omega_{\epsilon}} \epsilon^{3} l^{2} \left(\frac{1}{|B_{\epsilon/l}(\boldsymbol{x})|} \int_{\boldsymbol{z} \in B_{\epsilon/l}(\boldsymbol{x})} \rho(\boldsymbol{x}, \boldsymbol{z}, \omega) h(\boldsymbol{x}, \boldsymbol{z}, \omega) dV_{\boldsymbol{z}} \right)$$
 Ergodic theorem

We don't want energy to go to zero or infinity trivially

Correct scaling :
$$ho_l({m x},{m y},\omega)=rac{
ho({m x},{m y}/l,\omega)}{l}$$

*we had assumed earlier $\rho_l(\boldsymbol{x}, \boldsymbol{y}, \omega) = \rho(\boldsymbol{x}, \boldsymbol{y}/l, \omega)$

Random media: Non-local energy

After change of variable and dividing and multiplying $vol(B_{\epsilon/l}(\boldsymbol{x}))vol(B_{\epsilon/l}(\boldsymbol{x}'))$

$$E_{nonlocal} = \left(\frac{4\pi}{3}\right)^{2} \sum_{\substack{x,x' \in \Omega_{x}, \\ x\neq x'}} \epsilon^{6} \left(\frac{1}{l^{2}} \frac{1}{|B_{\epsilon/l}(x)|} \frac{1}{|B_{\epsilon/l}(x')|} \int_{\substack{z \in B_{\epsilon/l}(x), \\ z' \in B_{\epsilon/l}(x)}} \frac{\rho(x, z, \omega)\rho(x', z', \omega)}{|x+|z-x'-|z'|} dV_{z}dV_{z'}\right)$$
Taylor's series expansion
$$\frac{1}{|x+|z-x'-|z'|} = \frac{1}{|x-x'|} + \left[\frac{\partial}{\partial y} \frac{1}{|y|}\right]_{y=x-x'} l \cdot (z-z') + \left[\frac{\partial^{2}}{\partial y^{2}} \frac{1}{|y|}\right]_{y=x-x'} l^{2} : (z-z') \otimes (z-z') + O(l^{3})$$
Zeroth order term
$$\frac{1}{l^{2}} \left\{ \frac{1}{|B_{\epsilon/l}(x)|} \int_{z \in B_{\epsilon/l}(x)} \rho(x, z, \omega) dV_{z} \right\}$$

$$\downarrow \times \left\{ \frac{1}{|B_{\epsilon/l}(x')|} \int_{z' \in B_{\epsilon/l}(x')} \rho(x', z', \omega) dV_{z'} \right\}$$
Charge neutrality condition
$$\lim_{\epsilon/l \to \infty} \frac{1}{|B_{\epsilon/l}(x)|} \int_{z \in B_{\epsilon/l}(x)} \rho(x, z, \omega) dV_{z} = 0 \quad \forall x \in \Omega$$

$$\downarrow By Ergodic theorem$$

$$\mathbb{E}[\rho(x, y,)] = 0 \quad \forall x \in \Omega, y \in \mathbb{R}^{3}$$

📕 Random media: Result

Assume that ρ is ergodic and stationary, and also satisfies charge neutrality condition. Let ρ_l be scaled field. Then, electrostatics energy, in the limit is given by

$$E = E_{local} + E_{nonlocal} \tag{1}$$

$$E_{local} = \mathbb{E}\left[\int_{\boldsymbol{x}\in\Omega} \left(\int_{\mathbb{R}^3} \frac{\rho(\boldsymbol{x},\boldsymbol{0},\cdot)\rho(\boldsymbol{x},\boldsymbol{z}',\cdot)}{|\boldsymbol{0}-\boldsymbol{z}'|} dV_{\boldsymbol{z}'}\right) dV_{\boldsymbol{x}}\right]$$
(2)

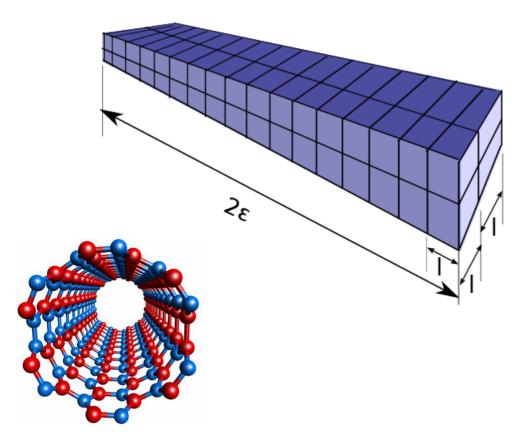
$$E_{nonlocal} = \int_{\substack{\boldsymbol{x}, \boldsymbol{x}' \in \Omega, \\ \boldsymbol{x} \neq \boldsymbol{x}'}} \mathbb{K}(\boldsymbol{x} - \boldsymbol{x}') : \hat{\boldsymbol{p}}(\boldsymbol{x}) \otimes \hat{\boldsymbol{p}}(\boldsymbol{x}') dV_{\boldsymbol{x}} d_{\boldsymbol{x}'}$$
(3)

where $\hat{\boldsymbol{p}}(\boldsymbol{x})$ is dipole moment at \boldsymbol{x} and is independent of ω .

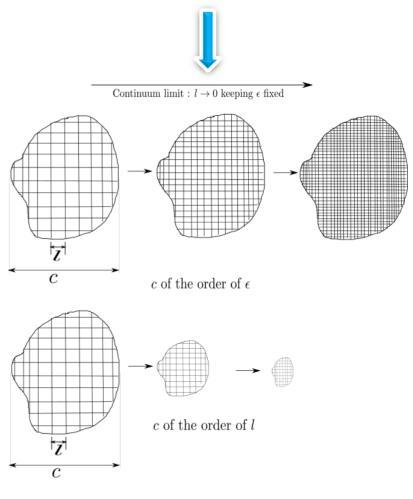
$$\hat{\boldsymbol{p}}(\boldsymbol{x}) = \boldsymbol{p}(\boldsymbol{x}, w) = \lim_{r \to \infty} \frac{1}{|B_r(\boldsymbol{x})|} \int_{\boldsymbol{z} \in B_r(\boldsymbol{x})} \rho(\boldsymbol{x}, \boldsymbol{z}, \omega) \boldsymbol{z} dV_{\boldsymbol{z}}$$

Nanostructures

- Cross-section is of few atomic thickness
- Long in axial direction
- Translational, and/or rotational symmetry



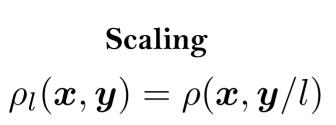
Nanostructure and macroscopically thick structures in a continuum limit



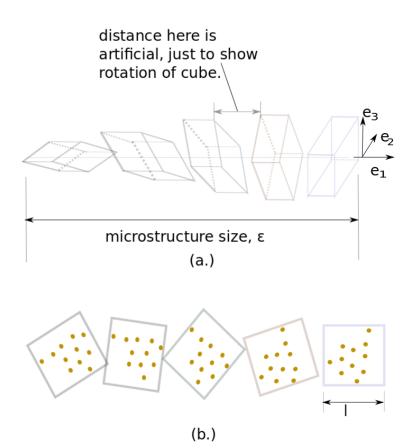
Nanostructures: Geometry

- cross-section is $[0, l]^2$
- Let Q be rotation and e_1 be unit translation
- for periodic nanorod: Q = I

Symmetry $\rho(\boldsymbol{x}, \boldsymbol{Q}^k \boldsymbol{y} + k \boldsymbol{e}_1) = \rho(\boldsymbol{x}, \boldsymbol{y})$

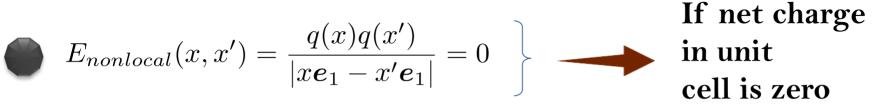






Nanostructures: Result

$$E = \int_{x \in \Omega} E_{local}(x) dl_x + \int_{\substack{x, x' \in \Omega, \\ x \neq x'}} E_{nonlocal}(x, x') dl_x dl_{x'}$$



net charge
$$q(x) := \int_{\boldsymbol{u} \in x\boldsymbol{e}_1 + [0,1]^3} \tilde{\rho}(x, \boldsymbol{u}) dV_{\boldsymbol{u}} = 0$$

we assume there exist $\tilde{\rho}$ such that

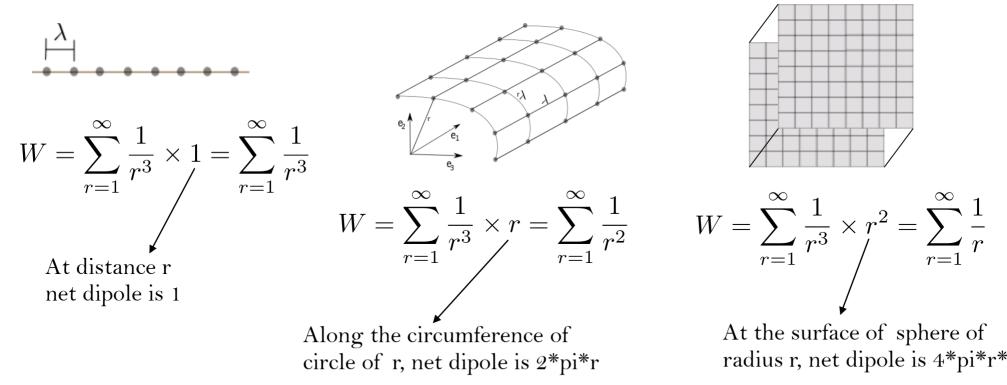
$$ho_l(x, y) = rac{ ilde{
ho}(x, y/l)}{l^2}$$

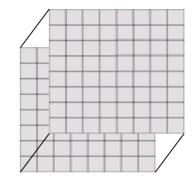
No long-range interaction

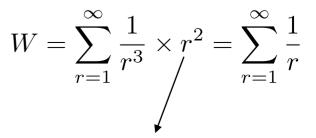
21 Nanostructures/thin films behave differently

Field at \boldsymbol{x} due to dipole \boldsymbol{d} at origin is $\boldsymbol{K}(\boldsymbol{x})\boldsymbol{d} \longrightarrow \boldsymbol{K}(\boldsymbol{x}) = -\frac{1}{4\pi |\boldsymbol{x}|^3} \left\{ \boldsymbol{I} - 3\frac{\boldsymbol{x}}{|\boldsymbol{x}|} \otimes \frac{\boldsymbol{x}}{|\boldsymbol{x}|} \right\}$

Estimate of dipole energy for 1-D, 2-D and 3-D materials







At the surface of sphere of radius r, net dipole is 4*pi*r*r

Dipole field kernel decays fast for 1-D and 2-D materials

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Phase average of a function

- \blacksquare $H(\mathbf{q}, \mathbf{p})$: Hamiltonian of system
- **q**: position vector of all atoms
- \blacksquare **p**: momenta vector of all atoms
- $p_{exact}(\mathbf{q}, \mathbf{p})$: exact probability density function
- \blacksquare F_{exact} : exact free energy
- \blacksquare $f(\mathbf{q}, \mathbf{p})$: phase function

Canonical ensemble

$$\langle f \rangle = \frac{1}{N!h^{3N}} \int_{\Gamma} f(\mathbf{q}, \mathbf{p}) p_{exact}(\mathbf{q}, \mathbf{p}) d\mathbf{q} d\mathbf{p}$$

$$p_{exact}(\mathbf{q}, \mathbf{p}) = \frac{1}{Z_{exact}} \exp\left[-\frac{H(\mathbf{q}, \mathbf{p})}{k_B T}\right]$$

$$F_{exact} = -k_B T \log[Z_{exact}]$$

Monte Carlo approximation

- $(\mathbf{q}^0, \mathbf{p}^0)$: initial state of system
 - For $(n+1)^{\text{st}}$ step

Let (\mathbf{q}, \mathbf{p}) be randomly choosen state and x random number in [0, 1]

$$(\mathbf{q}^{n+1}, \mathbf{p}^{n+1}) = \begin{cases} (\mathbf{q}, \mathbf{p}) & \text{if } H(\mathbf{q}, \mathbf{p}) - H(\mathbf{q}^n, \mathbf{p}^n) \le 0, \\ (\mathbf{q}, \mathbf{p}) & \text{if } H(\mathbf{q}, \mathbf{p}) - H(\mathbf{q}^n, \mathbf{p}^n) > 0 \\ & \text{and } \exp[-\frac{H(\mathbf{q}, \mathbf{p}) - H(\mathbf{q}^n, \mathbf{p}^n)}{k_B T}] \ge x, \\ (\mathbf{q}^n, \mathbf{p}^n) & \text{if } H(\mathbf{q}, \mathbf{p}) - H(\mathbf{q}^n, \mathbf{p}^n) > 0 \\ & \text{and } \exp[-\frac{H(\mathbf{q}, \mathbf{p}) - H(\mathbf{q}^n, \mathbf{p}^n)}{k_B T}] < x \end{cases}$$

$$\langle f \rangle_{Monte-Carlo} = \frac{1}{N} \sum_{i=1}^{N} f(\mathbf{q}^{i}, \mathbf{p}^{i}) N p(\mathbf{q}^{i}, \mathbf{p}^{i})$$

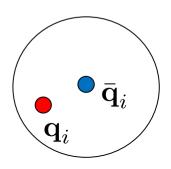
max-ent approach

We use *max-ent* method developed by Kulkarni, Knapp and Ortiz*

1. Mean position and mean momenta

$$\langle \mathbf{q}_i \rangle = \bar{\mathbf{q}}_i$$

 $\langle |\mathbf{q}_i - \bar{\mathbf{q}}_i|^2 \rangle = 3\tau_i^2$



- 2. Maximum entropy principle \rightarrow Probability density function $S[p] = -\frac{k_B}{N!h^{3N}} \int_{\Gamma} p \log p d\Gamma$
- 3. Variational mean field theory \rightarrow minimization problem

$$F_p := \langle H(\mathbf{q}, \mathbf{p}) \rangle_p - TS[p] \ge F_{exact}$$

^{*} Kulkarni, Y., Knapp, J., and Ortiz, M.: A Variational approach to coarse graining of equilibrium and non-graining atomistic description at finite temperature. J. Mech. and Phys. of Solids, 56 (2008).

Minimization problem

Free energy

$$\begin{split} F_p &:= F_p(\bar{\mathbf{q}}, \omega, T) \\ &= \langle H \rangle_p - TS[p] \\ &= \sum_i \frac{3}{2} k_B T + \sum_i \langle V_i \rangle_p \\ &+ \sum_{i \neq j} \frac{1}{2} \langle \frac{Q_i Q_j}{|\mathbf{q}_i - \mathbf{q}_j|} \rangle_p - \sum_i TS_i \end{split}$$

Determine the mean state

$$\min_{\mathbf{q},\omega} F_p(\mathbf{q},\omega;T) + F_{ext}(\mathbf{q},\omega;T)$$

$$\omega_i := \frac{\sigma_i}{\tau_i}$$
 (mean frequency of atom *i*)

Assumption: quasi-static problem $ar{\mathbf{p}}_i = \mathbf{0}$

Quasi-harmonic approximation*

For Coulombic interaction $I: H_{ii} = 0$

$$\min_{\omega} F_p(\mathbf{q}, \omega, T) \quad \Rightarrow \quad \omega_i^2 = \frac{1}{3} \mathbf{I} : \mathbf{H}_{ii}$$

^{*} Kulkarni, Y., Knapp, J., and Ortiz, M.: A Variational approach to coarse graining of equilibrium and non-graining atomistic description at finite temperature. J. Mech. and Phys. of Solids, 56 (2008).





| Extended Jason Marshall's code¹ to finite temperature

Object oriented

New more efficient algorithm to compute phase average of EAM like potential

¹ Marshall, J. and Dayal, K.: Atomistic to continuum multiscale modeling with long range electrostatic interaction In ionic solids. J. Mech. and Phys. of Solids, 1 (2013).

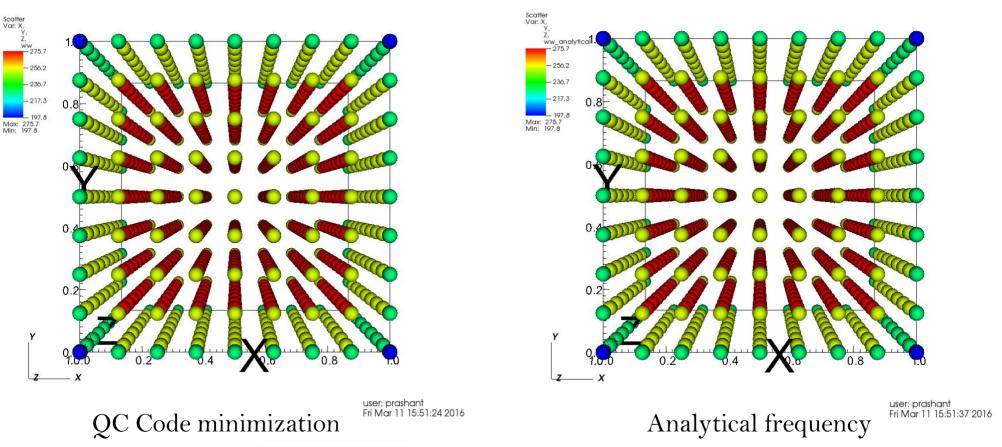


Quasi-harmonic approximation: QC code

Ar Lennard Jonnes

Size Type		Constant a		F	Potential	Temperature	Initial freq.		
Full	Atomistic	-		Type	$\sigma_{\!0}$	ϵ_{0}	f cut		
	8x8x8	SC	3.6697304	LJ	3.4	0.0104	8.5	100K	288.2

DB: node_quasi_1_load_number_00000.plt.gz



Visit Software : Hank Childs, Eric Brugger, et al. VisIt: An End-User Tool For Visualizing and Analyzing Very Large Data. Oct 2012, pages 357-372

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DB: node_quasi_1_load_number_00000.plt.gz

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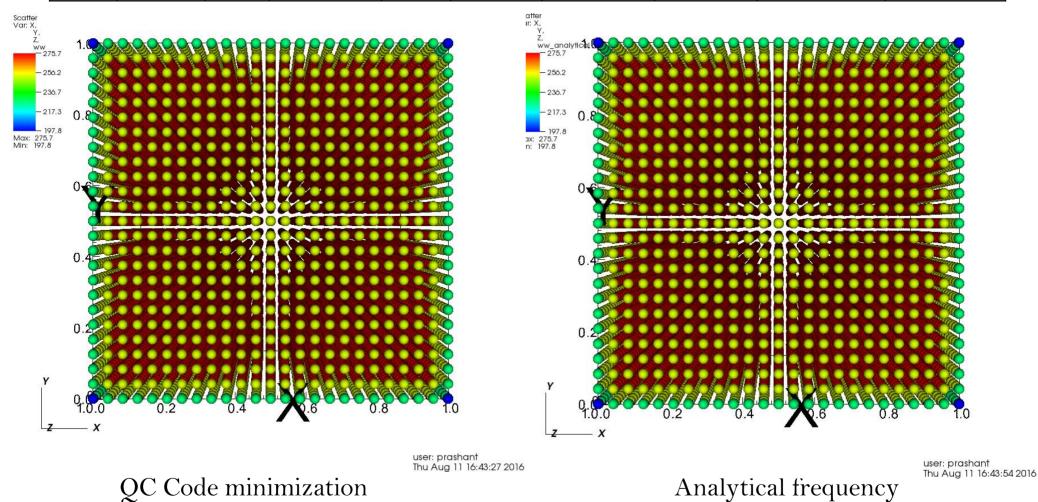
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Quasi-harmonic approximation: QC code

Ar Lennard Jonnes

	Size Type Constant a				F	Potential	Temperature	Initial freq.		
	Full	Atomistic	-		Type	$\sigma_{\!0}$	ϵ_{0}	f cut		
ſ		24x24x24	SC	3.6697304	LJ	3.4	0.0104	8.5	100K	288.2

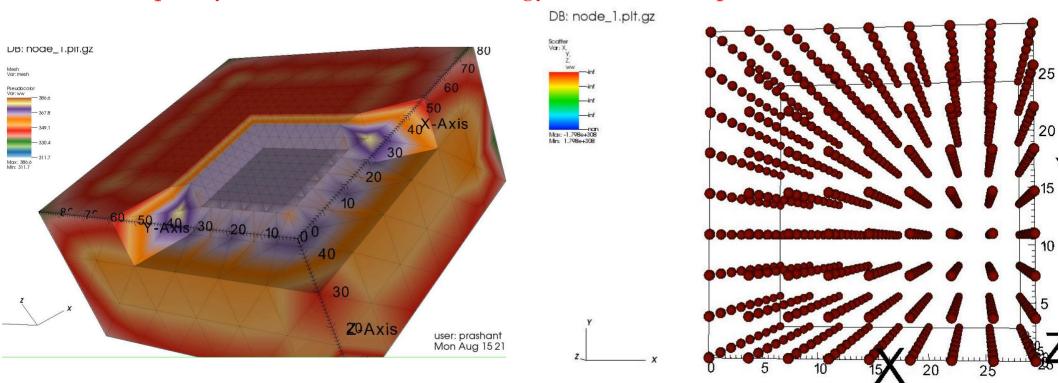


Results

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Frequency minimization

Frequency which minimizes free energy should be independent of initial value



Mesh: 24x24x12 – 6x6x6

Initial frequency

- 1. 288.2
- 2. 230.5
- 3. 192.1
- 4. 164.7

Mesh: 8x8x8 Initial frequency

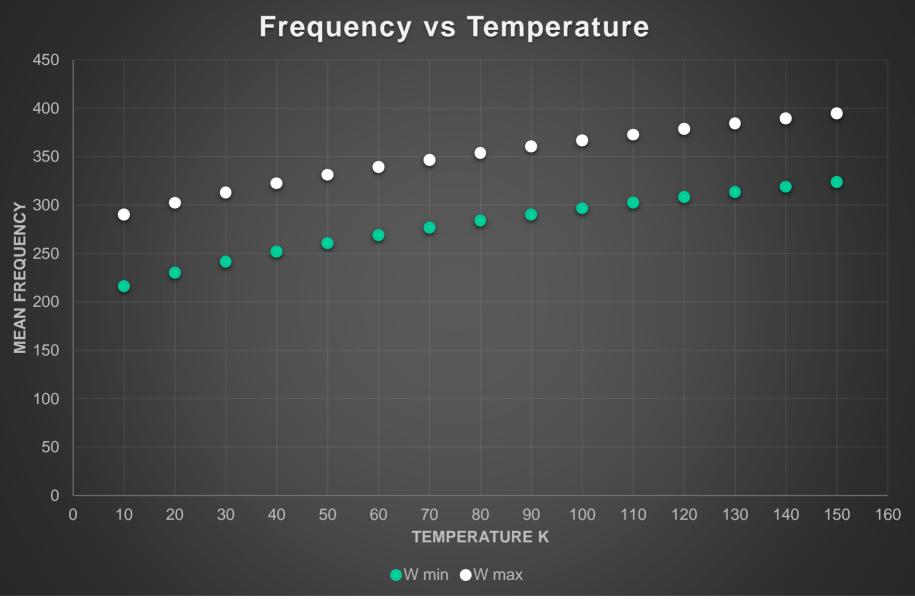
- 1. 576.3
- 2. 230.5
- 3. 192.1
- 4. 144.1
- 5. 115.1
- 6. 96.05

Results

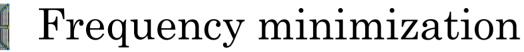
31

Frequency minimization

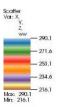
Mean frequency should increase with the temperature

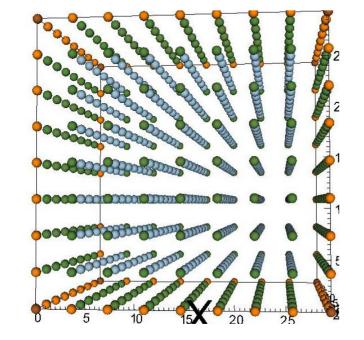


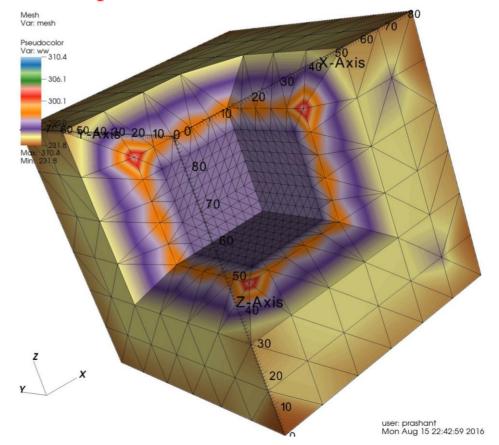
Mesh: 8x8x8



Mean frequency should increase with the temperature







Mesh: 8x8x8

Mesh: 24x24x24-6x6x6

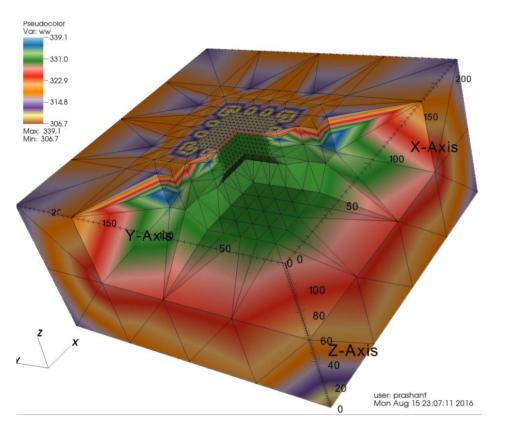
Temperature: {10K, 20K, ..., 150K}

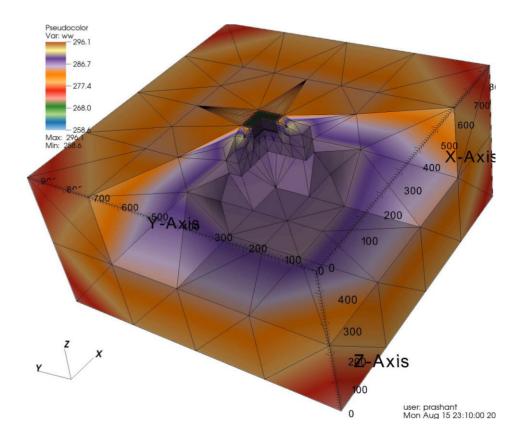
Results

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Frequency minimization

Mean frequency should increase with the temperature





Mesh: 64x64x32-6x6x6

Temperature {10K, 30K, 50K, 70K, 90K, 100K, 120K, 150K} Mesh: 256x256x128-10x10x10

Temperature {50K, 80K, 90K, 100K}

Frequency minimization: Discussion

$$\omega = \frac{\sigma}{\tau}, \ \sigma = \sqrt{2k_BT}$$



if τ is very small f_{ω} due to entropy dominates and it is uniform

if τ is very large

 f_{ω} due to interatomic potentials dominates and it is very large



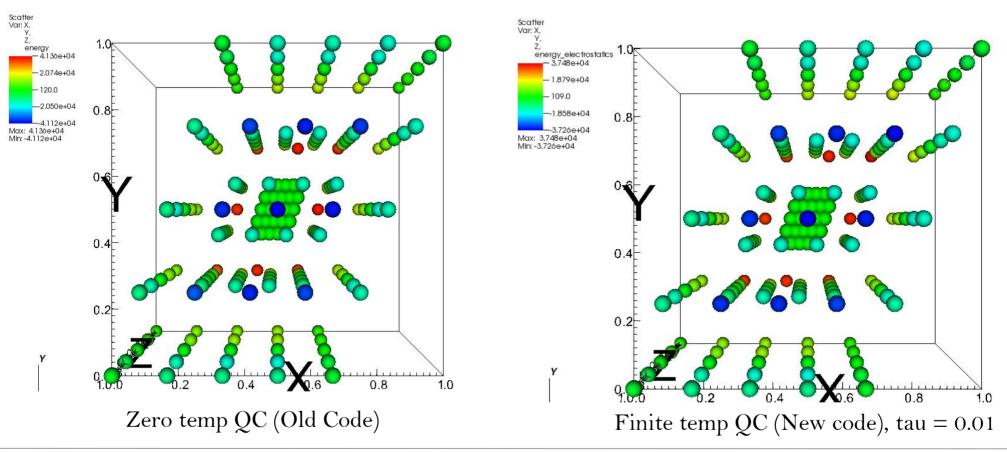
we find that when initial frequency is such that f_{ω} due to interatomic potential and entropy is of the same order the code converges.

Electrostatics implementation

For small τ , phase average of energy would be very close to the energy at mean configuration!

Gallium nitride 6-lattice core-shell model

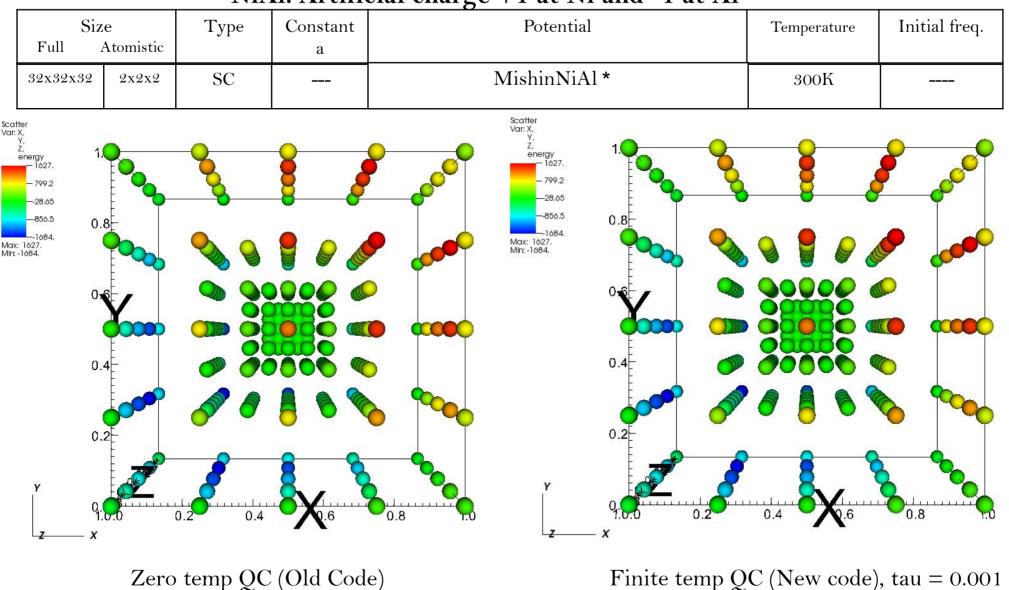
Si Full	51		Constant a	Potential	Temperature	Initial freq.
24x24x24	2x2x2	Wurtzite		Core-shell 6 lattice model*	300K	



* Zapol, P., Pandey, R., and Gale, J. D.:: An interatomic potential study of the properties of gallium nitride. J. of Phys.: Condensed Matter, 9(44):9517 (1997)

Electrostatics implementation

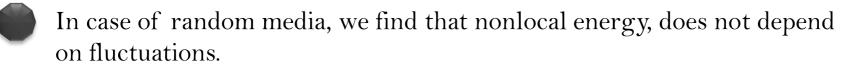
NiAl: Artificial charge +1 at Ni and -1 at Al



* Mishin, Y., Mehl, M., and Papaconstantopoulos, D..: Embedded-atom potential for b 2-nial. *Physical Review B*, 65(22):224114 (2002)

Discussion

No long range interactions in nanostructures and thin films Agrees with Gioia and James calculation for thin film



- Fluctuations are happening at the scale of l
 - Whereas nonlocal energy is due to the interaction between material points which are ϵ apart.
- Coulombic interaction is linear.



Our QC calculation show that initial frequency should be in range such that frequency force from different interactions is of the same order



We also show that minimizing frequency is independent of initial frequency.

Future works

- Point defects plays an important role in semiconductor devices. We would like to model the single charge point defect in a large crystal and see how it interacts with surrounding.
- The multi-scale formulation is for finite constant temperature problems. Doing non-equilibrium in a multiscale framework is still a challenge.

Groups like Tadmor group and Knapp group are working on this challenge.



For non-equilibrium temperature problem, we may have to revisit the ergodic and Stationary assumption on charge density field.

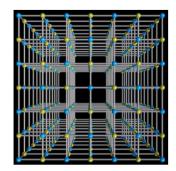
- If charge density field is not ergodic then computation of dipole moment p(x) is not clear.
- If there is a gradient of temperature, the charge density field may not be stationary, as stationarity requires that statistical properties, e.g. mean, should be independent of spatial location.



Experiments can be carried out to find the critical ratio of length of nanotube to the size in cross-section, such that above the critical ratio, nanotube does not show long-range electrical interactions. This will be useful if goal is to develop multiscale models for nanostructures.



We can also estimate the rate at which difference between actual electrostatics energy, and continuum limit of electrostatics energy, goes to zero with respect to ratio macroscopic length and atomic spacing.



Thank you!